

# Lecture 08

## Earlier...

The following upper bound on discrepancy was used

**1 Lemma (Discrepancy Bound).**  $f : X \times Y \rightarrow \{1, -1\}$ ,  $\mu \sim X \times Y$ .

$$\text{Disc}_\mu(f)^2 \leq |X| \sum_{y, y' \in Y} \left| \sum_{x \in X} \mu(x, y) \mu(x, y') f(x, y) f(x, y') \right|$$

to prove:

**2 Theorem (Degree/Discrepancy theorem).** Let  $\text{deg}_\pm(f) = d \geq 1$ . Let  $N \geq n$ , and define  $F(x, S) = f(x|_S)$  with  $x \in \{1, -1\}^N$  and  $S \in \binom{[N]}{n}$ . Then

$$\text{Disc}_\mu(F) \leq \left( \frac{4en^2}{Nd} \right)^{d/2} \quad (\leq 2^{-d/2} \text{ for } N \geq n^2/d).$$

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Today we provide an  $\text{AC}^0$  function with threshold-degree  $\Omega(\sqrt{n})$ .

## Finishing up

To finish, we prove the following classical result:

**3 Theorem (Minsky & Papert, 1967).** The function

$$f(\bar{x}) = \bigwedge_{i \in [m]} \bigvee_{j \in [4m^2]} x_{i,j}$$

(is in  $AC^0$ , and) has  $\text{deg}_{\pm}(f) > m$ .

*Proof.* Let  $M = 4m^3$ . First we observe that the function is very symmetrical. Indeed, consider the subgroup  $G = (\mathbb{S}_{4m^2})^m \subset \mathbb{S}_M$  of permutations of  $i, j$  which leave the  $i$  fixed, i.e., if  $\sigma \in G$ , then  $\sigma(i, j) = (i, \sigma_i(j))$  for some choice of  $\sigma_1, \dots, \sigma_m \in \mathbb{S}_{4m^2}$ . Then  $f$  is invariant under  $G$ .

Now suppose that  $\text{deg}_{\pm}(f) \leq d$ ; then

$$f(\bar{x}) = \left[ \sum_{S \in \binom{M}{\leq d}} \alpha_S \chi_S(\bar{x}) > 0 \right]$$

Where  $\chi_S(\bar{x})$  is the AND of the  $S$ -coordinates:  $\bigwedge_{(i,j) \in S} x_{i,j} \in \{0, 1\}$  (we could have picked any basis, this one will be the most convenient).

Let  $X_i = \{x_{i,1}, \dots, x_{i,4m^2}\}$ , For a given  $x \in \{0, 1\}^M$ , let  $y_1(x), \dots, y_m(x)$  be given by  $y_i = |x \cap X_i|$ . Now call  $S, T \in \binom{M}{\leq d}$  *equivalent* if  $y_i(S) = y_i(T)$  for all  $i$ .<sup>1</sup> Split  $\binom{M}{\leq d}$  into equivalence classes  $B_1, \dots, B_K$ . Then the  $B_k$  are generated by  $G$  (meaning that  $G(S) = B_k$  for any  $S \in B_k$ ).

It also happens that

$$\sum_{S \in B_k} \chi_S(\bar{x}) = \binom{y_1(x)}{y_1(B_k)} \cdots \binom{y_m(x)}{y_m(B_k)} = p_k(y_1(x), \dots, y_m(x)).$$

Because  $\sum_i y_i(B_k) \leq d$  always, then  $p_k$  is a real polynomial on the variables  $y_i(x)$ , having *total* degree  $\leq d$ .

Now, by the  $G$ -invariance of  $f$ ,

$$f(\bar{x}) = \left[ \sum_{\sigma \in G} \sum_{S \in \binom{M}{\leq d}} \alpha_S \chi_S(\sigma(\bar{x})) > 0 \right]$$

<sup>1</sup> Here we treat subsets of  $M$  as binary strings of length  $M$  (and vice-versa), with the 1s marking the positions belonging to the set, and 0s elsewhere.

$$= \left[ \sum_{B_k} \sum_{\sigma \in G} \sum_{S \in B_k} \alpha_S \chi_{\sigma^{-1}(S)}(\bar{x}) > 0 \right]$$

Because  $\sigma$  is bijective on  $B$ ,

$$f(\bar{x}) = \left[ \sum_{B_k} \sum_{\sigma \in G} \sum_{S \in B_k} \alpha_{\sigma(S)} \chi_S(\bar{x}) > 0 \right] = \left[ \sum_{B_k} \sum_{S \in B_k} \left( \sum_{\sigma \in G} \alpha_{\sigma(S)} \right) \chi_S(\bar{x}) > 0 \right];$$

Now, because  $G(S) = G(T) = B_k$  for any  $S, T \in B_k$ , the innermost parenthesis is some value  $\beta_k$  depending only on  $B_k$ . Hence:

$$f(\bar{x}) = \left[ \sum_{B_k} \beta_k \left( \sum_{S \in B_k} \chi_S(\bar{x}) \right) > 0 \right],$$

and we conclude that

$$f(\bar{x}) = \left[ \sum_{B_k} \beta_k p_k(y_1(\bar{x}), \dots, y_m(\bar{x})) > 0 \right].$$

Let  $q(y_1, \dots, y_m) = \sum_{B_k} \beta_k p_k$ , and write  $y_i = y_i(\bar{x})$ . Then  $q$  has degree  $\leq d$ , and

$$q(y_1, \dots, y_m) > 0 \iff f(\bar{x}) = 1 \iff y_i > 0 \text{ for all } i.$$

Now, for any  $y \in [2m]$ , we can find a  $\bar{x}$  having  $y_i = (t - (2i + 1))^2$ . Then  $q(y_1, \dots, y_i) = Q(t)$ , for some single-variate polynomial  $Q$  of degree  $\leq 2d$ . Now notice that for even  $t \in [2m]$ ,  $y_i = 0$  for  $i = t/2 - 1$ ; and for odd  $t \in [2m]$ ,  $0 < y_i \leq 4m^2$  for all  $i$ . This implies that  $Q$  has degree at least  $2m$  (its derivative has  $2m - 1$  zeroes), so  $2d \geq 2m$ , and we are done. ■

☞ The idea of the proof is the following: we first notice that the output is a function  $q$  of the number of 1s in each of the  $X_1$  (of the  $y_i(\bar{x})$ ). If  $q$  were a polynomial in the  $y_i$ 's, it would need to have “total degree”  $\geq d$ , by the  $(t - (2i + 1))^2$  argument of the last paragraph. The rest of the proof establishes that if  $f$  had small threshold degree, then  $q$  is, indeed, a small-degree polynomial.